# Possible Fairness for Allocating Indivisible Resources 

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#### Abstract

Fair division of indivisible resources has attracted significant attention from multi-agent systems and computational social choice. Two popular solution concepts are envy-freeness up to any item (EFX) and maximin share (MMS) fairness which are defined using agents' cardinal preferences. On one hand, accurate cardinal values are hard to express in real-life applications, and on the other hand, with cardinal values, MMS and EFX may not be easy to satisfy. In this work, we study a new setting where agents have arbitrary ordinal preferences for the items (possibly with indifferences), and an allocation is called possible EFX (p-EFX) or possible MMS (pMMS) if there exist cardinal preferences that are consistent with the ordinal ones so that the allocation is EFX or MMS.

We first design a polynomial-time algorithm to compute an allocation that is p-EFX and p-MMS under lexicographic preferences. This result also strengthens a result of Hosseini et al.(AAAI 2021) who proved the existence of EFX and MMS allocations under strict lexicographic preferences (i.e., the items do not have ties). Although it has been well justified that lexicographic preferences are natural and common, there are situations where they do not fit appropriately, especially when the items have similar types. Therefore, on top of p-EFX and p-MMS, we want the allocation to be balanced (i.e., the numbers of items allocated to the agents differ by at most one). We then design another algorithm that satisfies p-EFX, p-MMS, and balanced simultaneously.


## KEYWORDS

Indivisible Resources; Ordinal Preferences; Possible Fairness

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## 1 INTRODUCTION

Fairly allocating a set of indivisible resources among a number of heterogeneous agents has been within the center of multi-agent systems [2, 9]. Basically, there are two classes of fairness criteria, namely envy-based and share-based. An envy-based criterion evaluates an agent's fairness on an allocation against those of her peers,

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and a share-based one evaluates it against the agent's due share. Two representative notions in these two classes are envy-freeness (EF) and proportionality (PROP). Informally, an envy-free allocation requires no agent to prefer the bundle of resources allocated to any other agent more than her own, and a proportional one requires every agent to have a value no smaller than her average value for all resources. It is widely known that these two requirements are too strong in the sense that such fair allocations seldom exist due to the indivisibility of the resources. Instead, the literature mostly focuses on their relaxation. The first relaxation is envy-freeness up to any item (EFX) [17], which means the envy of an agent towards another agent can be eliminated if an arbitrary resource is removed from the envied agent's bundle. The second one is maximin share (MMS) fairness [15], which is motivated by an imaginary experiment where an agent is to divide all the resources into $n$ bundles but is the last one to select a bundle ( $n$ is the number of agents). The agent's best strategy, in the worst case, is to maximize the minimum value of all bundles, and this value is named her maximin share. Then an MMS allocation is defined so that every agent's value is no smaller than her MMS.

Unfortunately, although a significant amount of effort has been spent, whether an EFX allocation always exists or not is still unknown. It is shown in $[21,28]$ that an MMS allocation is not guaranteed to exist even when there are only three agents. Thereafter, a growing body of papers works on finding the approximations of EFX and MMS allocations, which will be discussed in Section 1.2. In all these works, it is assumed that every agent has a cardinal valuation function that assigns an exact numerical value for each set of resources. However, in many real-life scenarios, it is easy for the agents to tell which one is better among the items, but it may be hard to quantify how much it is better off.

In this work, we take an epistemic perspective to study the fair allocation of indivisible resources and provides an alternative way to approximate EFX and MMS fairness. Pragmatically, in our model, we assume each agent has an ordinal ranking over the resources. We then define possible EFX and possible MMS allocations, which requires that for each agent there exists a cardinal valuation that is consistent with the ordinal preference so that the allocation is EFX and MMS. Konczak and Lang [27] first proposed the notion of possible winners in the context of voting, which is then generalized to possible EF and PROP in fair allocation by Aziz et al. [8] and Segal-Halevi et al. [33]. A simple example shows that possible EF and PROP are still hard to satisfy; see Example 1 and formal definitions are in introduced in Section 2. Our definitions are weaker and
we prove that we can ensure possible EFX and possible MMS simultaneously by a unified algorithm for arbitrary ordinal rankings even when the agents have indifference over the items.

Example 1. Consider an instance with two agents and two resources o and $o^{\prime}$. Both agents strictly prefer o over $o^{\prime}$. In that case, the agent who gets o' is envious of the other agent and does not get half of the total utility he would get if he got both resources. Therefore, allocating each agent one item is possible EFX and possible MMS, but there exists no possible EF or possible PROP allocation.

Besides [8, 33] and our work, the fair allocation has been investigated from an epistemic perspective in the literature, but most of the existing study focuses on the knowledge of allocations. To the best of our knowledge, Aziz et al. [7] were the first to study the epistemic envy-freeness, which only requires each agent to know her own allocated items. An allocation is regarded as epistemic EF to an agent if there exists a re-allocation of the items not allocated to her among the other agents so that the resulting allocation is EF. Very recently, Garg and Sharma [22] extended this notion to epistemic EFX and proved the existence of such an allocation. In another direction, Chan et al. [18] proposed maximin aware allocations, which requires that for each agent, no matter how the items not allocated to her are distributed among the others, there exists one agent whose bundle is no better than hers. Our work is fundamentally different from these works in the sense that the knowledge in our setting is about valuations instead of allocations.

### 1.1 Our Contribution

In this work, we study the epistemic fair allocation problem of indivisible resources, where the agents express their ordinal preferences over the resources. We propose two possible fairness definitions, namely, possible EFX ( $\mathrm{p}-\mathrm{EFX}$ ) and possible MMS ( $\mathrm{p}-\mathrm{MMS}$ ). Informally, an allocation is p-EFX or p-MMS if for every agent there exists a cardinal additive valuation that is consistent with her ordinal preference so that the allocation is EFX or MMS to her. We justify the two concepts by the following two facts. First, it is usually unrealistic for the agents to express their accurate cardinal values or the agents simply do not have them; Second, as we show in this work, although possible fairness is weaker than the cardinal ones, they are guaranteed to be satisfiable and are compatible with extra properties such as balancedness and Pareto optimality (PO).

To prove the existence of possible fair allocations, we first show that an EFX, MMS, and PO allocation exists when the valuations are weak lexicographic. Hosseini et al. [26] proved the existence of such an allocation for strict lexicographic preferences and left the weak case open. Our result answers this question affirmatively.

Main Result 1. A simultaneously EFX, MMS and PO allocation exists and can be computed in polynomial time for arbitrary (weak) lexicographic preferences.

An interesting distinction between strict and weak lexicographic preferences is that EFX implies MMS in the former case but not in the latter one. As we will see, one of the difficulties in proving the above result is to design a unified algorithm to compute an allocation that is both EFX and MMS.

The existence of fair allocation under lexicographic preferences implies the existence of the corresponding possibly fair allocation.

It has been well justified that lexicographic preferences are natural and common in many fields such as psychology, computer science, and economics[32], but there are situations where they do not fit appropriately, especially when the items have similar types. Therefore, to justify the fairness of possibly fair allocations, an extra property we may want to satisfy is balancedness. An allocation being balanced means the numbers of items allocated to the agents differ by at most one so that the agents have almost the same number of items. Note that with lexicographic preferences, EFX and MMS are not compatible with balancedness, but we show that we can compute in polynomial time an allocation that is p-EFX, p-MMS, and balanced. Moreover, the valuation profiles that give EFX and MMS are the same, and under this valuation profile, the allocation is also PO.

Main Result 2. A simultaneously p-EFX, p-MMS, and balanced allocation exists and can be computed in polynomial time. Moreover, p-EFX and p-MMS use the same valuation profile under which the allocation is PO.

### 1.2 Other Related Works

Since envy-free or proportional allocations seldom exist when the resources are indivisible, a significant amount of effort has been spent on their approximations. Two of the most notable relaxations of envy-freeness are envy-freeness up to one item (EF1) [15] and EFX that we have discussed [17]. EF1 is much weaker than EFX where envy between two agents can be eliminated after the removal of some (instead of "any" as in EFX) item. Compared with EFX, an EF1 allocation is ensured to exist even when the valuations are combinatorial and monotone [29]. Although the existence of EFX allocations is still unknown, there are constant approximations [ 5,30 ]. Caragiannis et al. [16], Chaudhury et al. [20] and Chaudhury et al. [19] also showed that an EFX allocation exists if we allow a small number of items not to be allocated.
we can donate a small number of items to a charity.
MMS is one of the most widely studied relaxations of proportionality [15]. Kurokawa et al. [28] gave the first instance for which no allocation is MMS. Recently, a constant impossibility result is proven by Feige et al. [21]. On the positive side, Kurokawa et al. [28] gave the first $2 / 3$-approximate MMS fair algorithm, albeit not in polynomial time. Later Amanatidis et al. [4], Barman and Krishnamurthy [11] provided polynomial-time algorithms with the same approximation ratio. The approximation ratio is further improved to $3 / 4$ in [24] and $3 / 4+1 /(12 n)$ in [23].

In another direction, using ordinal preferences to approximate MMS allocations has been investigated in [3, 25], but in these works, the agents are still equipped with cardinal valuation functions but the algorithm does not know. More ordinal fairness notions can be found in [12-14, 31].

## 2 PRELIMINARIES

### 2.1 Model

For any integer $k \geq 1$, we denote $[k]=\{1, \ldots, k\}$. A resource allocation instance is a triple $I=(N, M, \gtrsim)$ where $N=[n]$ is a set of agents, $M=[m]$ is a set of items, and $\gtrsim=\left(\gtrsim_{1}, \ldots, \gtrsim_{n}\right)$ specifies for each agent $i$ a preference $\gtrsim_{i}$ over $M$. For any two items $a$ and
$b, a \gtrsim_{i} b$ means agent $i$ (weakly) prefers $a$ to $b$. The agents may be indifferent among items. Agent $i$ is indifferent between $a$ and $b$, denoted by $a \sim_{i} b$, if $a \gtrsim_{i} b$ and $b \gtrsim_{i} a$. Agent $i$ strictly prefers $a$ to $b$, denoted by $a>_{i} b$, if $a \gtrsim_{i} b$ and $a \nsim i b$. The preference relation of each agent $i \in N$ can be expressed by $k_{i}$ equivalence classes $E_{i}^{1}, \ldots, E_{i}^{k_{i}}$ (in decreasing order of preferences), where each set $E_{i}^{j}$ is a maximal equivalence class of items for which agent $i$ is indifferent, and $k_{i}$ is the number of equivalence classes of agent $i$. For any two items $a \in E_{i}^{j}$ and $b \in E_{i}^{k}, a \gtrsim_{i} b$ implies that $j \leq k$, $a \sim_{i} b$ implies that $j=k$, and $a>_{i} b$ implies that $j<k$. A bundle is a subset of items $X \subseteq M$. We sometimes denote a bundle $X$ by a vector $\left(a_{1}, \ldots, a_{k_{i}}\right)_{\gtrless_{i}}$ if $X$ contains $a_{j} \in \mathbb{N}$ items in $E_{i}^{j}$ for $j \in\left[k_{i}\right]$. An allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ is an $n$-partition of $M$ if $A_{1} \cup \cdots \cup A_{n}=M$ and $A_{i} \cap A_{j}=\emptyset$ for any $i \neq j$, where $A_{i}$ is the bundle allocated to agent $i$. An allocation A is called partial if $A_{1} \cup \cdots \cup A_{n} \subset M$. An allocation $\mathrm{A}=\left(A_{1}, \ldots, A_{n}\right)$ is balanced if for any $i, j \in N, \| A_{i}\left|-\left|A_{j}\right|\right| \leq 1$.

One way to extend preferences over items to preferences over bundles of items is via downward lexicographic dominance (DL) (see, e.g., [10]).

Definition 1 (DL-preference). For an agent $i \in N$, given two bundles $A=\left(a_{1}, \ldots, a_{k_{i}}\right)_{\gtrless_{i}}$ and $B=\left(b_{1}, \ldots, b_{k_{i}}\right)_{\gtrless_{i}}$, we say that agent $i D L$-prefers $A$ to $B$, or $A \gtrsim_{i}^{D L} B$, if either of the following two conditions holds:

- there exists $l \leq k_{i}$ such that $a_{l}>b_{l}$ and $a_{j} \geq b_{j}$ for any $j<l$.
- $a_{j}=b_{j}$ for any $j \in\left[k_{i}\right]$.

Moreover, we say that agent i strictly DL-prefers $A$ to $B$, denoted by $A>_{i}^{D L} B$, if $A \gtrsim_{i}^{D L} B$ and $B \not \nsim_{i}^{D L} A$; agent $i$ is $D L$-indifferent between $A$ and $B$, denoted by $A \sim_{i}^{D L} B$, if $A \gtrsim_{i}^{D L} B$ and $B \gtrsim_{i}^{D L} A$.

DL-preferences are also abbreviated as lexicographic preferences in this paper.

Although agents only express ordinal preferences, they may have cardinal valuations $u_{i}: 2^{M} \rightarrow \mathbb{R}^{+}$. A valuation $u_{i}$ is consistent with $\gtrsim_{i}$ if $u_{i}(g) \geq u_{i}\left(g^{\prime}\right) \Leftrightarrow g \gtrsim_{i} g^{\prime}$. The set of all cardinal valuations consistent with $\gtrsim_{i}$ is denoted by $\mathcal{U}\left(\gtrsim_{i}\right)$. When we consider agents' cardinal valuations, it is assumed they are additive; that is $u_{i}(X)=$ $\sum_{g \in X} u_{i}(g)$ for all $i \in N$ and $X \subseteq M$. Note that DL preferences can be expressed by additive cardinal valuations (as will be shown in the proof of Proposition 2).

### 2.2 Fairness Notions

Next, we introduce the fairness notions we are interested in. Note that these concepts are defined using cardinal valuations. An allocation $\mathrm{A}=\left(A_{1}, \ldots, A_{n}\right)$ is envy-free (EF) if $u_{i}\left(A_{i}\right) \geq u_{i}\left(A_{j}\right)$ for all agents $i$ and $j$. Since EF is too hard to satisfy, we consider its relaxation, envy-free up to any item. An allocation is envy-free up to any item (EFX) if for all agents $i$ and $j$

$$
u_{i}\left(A_{i}\right) \geq u_{i}\left(A_{j} \backslash\{g\}\right) \text { for any } g \in A_{j} .
$$

A weaker notion than EF is proportionality. An allocation $\mathrm{A}=$ $\left(A_{1}, \ldots, A_{n}\right)$ is proportional (PROP) if $u_{i}\left(A_{i}\right) \geq \frac{1}{n} \cdot u_{i}(M)$ for any agent $i$. One popular relaxation of PROP is maximin share (MMS) fairness. Let $\Pi_{n}(M)$ be the set of all $n$-partitions of $M$, agent $i$ 's
maximin share $\mathrm{MMS}_{i}^{n}\left(u_{i}\right)$ is

$$
\operatorname{MMS}_{i}^{n}\left(u_{i}\right)=\max _{\mathrm{X}=\left(X_{1}, \ldots, X_{n}\right) \in \Pi_{n}(M)} \min _{j \in[n]} u_{i}\left(X_{j}\right)
$$

Given an $n$-partition $\left(X_{1}, \ldots, X_{n}\right)$ of $M$, we say it is an MMS-defining partition for agent $i$ if $u_{i}\left(X_{j}\right) \geq \mathrm{MMS}_{i}^{n}\left(u_{i}\right)$ for all $j \in[n]$. An allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ satisfies MMS fairness if each agent $i \in N$ gets utility at least $\mathrm{MMS}_{i}^{n}\left(u_{i}\right)$, i.e.,

$$
u_{i}\left(A_{i}\right) \geq \mathrm{MMS}_{i}^{n}\left(u_{i}\right)
$$

An allocation is called Pareto optimal (PO) if there is no alternative allocation which strictly improves one agent without hurting any agent. Formally, $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ is Pareto-dominated by $\mathbf{B}=\left(B_{1}, \ldots, B_{n}\right)$ if $u_{i}\left(A_{i}\right) \leq u_{i}\left(B_{i}\right)$ for all $i$ and the inequality is strict for some $i$. An allocation A is PO if it is not Pareto-dominated by any allocation.

In this work, we consider the ordinal versions of these fairness notions. The ordinal concepts are defined in the same way as possible EF and possible PROP that have been defined by Aziz et al. [8]. An allocation $\mathrm{A}=\left(A_{1}, \ldots, A_{n}\right)$ is possible EF if for each $i \in N$, there exists $u_{i} \in \mathcal{U}\left(\gtrsim_{i}\right)$ such that for all $j \in N, u_{i}\left(A_{i}\right) \geq u_{i}\left(A_{j}\right)$; it is possible PROP if for each $i \in N$, there exists $u_{i} \in \mathcal{U}\left(\gtrsim_{i}\right)$ such that $u_{i}\left(A_{i}\right) \geq \frac{1}{n} u_{i}(M)$. As shown by Example 1, a possible EF or PROP allocation seldom exists. In the following, we adapt these notions to possible EFX and possible MMS, which will be shown to be always satisfiable.

Definition 2 ( $p$-EFX). An allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ is possible envy-free up to any item ( $p$-EFX) if for each $i \in N$, there exists $u_{i} \in \mathcal{U}\left(\succsim_{i}\right)$ such that for any $j \in N$,

$$
u_{i}\left(A_{i}\right) \geq u_{i}\left(A_{j} \backslash\{g\}\right) \text { for any } g \in A_{j} .
$$

Definition 3 ( $p$-MMS). An allocation $\mathrm{A}=\left(A_{1}, \ldots, A_{n}\right)$ is possible maximin share ( $p-M M S$ ) fair if for each $i \in N$, there exists $u_{i} \in \mathcal{U}\left(\gtrsim_{i}\right)$ such that

$$
u_{i}\left(A_{i}\right) \geq \mathrm{MMS}_{i}^{n}\left(u_{i}\right)
$$

At the end of this paper, we will introduce a stronger requirement than possible fairness, namely, necessary fairness, which requires the allocation to be fair under all cardinal valuations that are consistent with the given ordinal preferences. This definition is hard to satisfy and we provide a brief discussion in Section 5.

Definition 4 ( $n$-EFX). An allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ is necessary envy-free up to any item ( $n$-EFX) if for each $i \in N$, for any $u_{i} \in \mathcal{U}\left(\gtrsim_{i}\right)$ and for any $j \in N$,

$$
u_{i}\left(A_{i}\right) \geq u_{i}\left(A_{j} \backslash\{g\}\right) \text { for any } g \in A_{j} .
$$

Definition 5 ( $n$-MMS). An allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ is necessary maximin share ( $n-M M S$ ) fair if for each $i \in N$ and for any $u_{i} \in \mathcal{U}\left(\succsim_{i}\right)$, it holds that

$$
u_{i}\left(A_{i}\right) \geq \operatorname{MMS}_{i}^{n}\left(u_{i}\right)
$$

## 3 COMPUTING FAIR ALLOCATIONS UNDER WEAK LEXICOGRAPHIC PREFERENCES

In this section, we prove the existence of EFX and MMS allocations under (weak) lexicographic preferences, which also implies the existence of p-EFX and p-MMS allocations.

### 3.1 DL-EFX, DL-MMS and DL-PO

The requirements of EFX, MMS and PO can be equivalently stated as the following DL-EFX, DL-MMS and DL-PO.

Definition 6 (DL-EFX). Let $A_{i}$ and $A_{j}$ be the bundles of agents $i$ and $j$, respectively. We say that $i$ DL-envies $j$ if $A_{j}>_{i}^{D L} A_{i}$. An allocation $\mathrm{A}=\left\{A_{1}, \ldots, A_{n}\right\}$ is DL-envy free up to any item (DL-EFX) iffor any $i, j \in N$,

$$
A_{i} \succsim_{i}^{D L} A_{j} \backslash\{g\} \text { for any } g \in A_{j}
$$

The DL-maximin share (DL-MMS) of an agent is the most DLpreferred bundle the agent can guarantee herself if she is to partition $M$ into $n$ bundles while knowing that she can only get the bundle she least DL-prefers. Formally, agent $i$ 's DL-MMS is defined as

$$
\operatorname{DLMMS}_{i}^{n}\left(\succsim_{i}\right)=\operatorname{Max}_{\mathrm{X} \in \Pi_{n}(M)} \operatorname{Min}\left\{X_{1}, \ldots, X_{n}\right\}
$$

where $\operatorname{Max}\{\cdot\}$ and $\operatorname{Min}\{\cdot\}$ denote the most DL-preferred and the least DL-preferred bundles to agent $i$. Note that we write DLMMS ${ }_{i}^{n}$ for simplicity when $\gtrsim_{i}$ is clear from the context. We want to highlight that DLMMS ${ }_{i}^{n}$ actually represents a bundle of items instead of a cardinal value.

Definition 7 (DL-MMS). An allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ satisfies DL-MMS fairness if each agent $i \in N$ gets a bundle that she (weakly) DL-prefers to DLMMS ${ }_{i}^{n}$, i.e.,

$$
A_{i} \gtrsim_{i}^{D L} \mathrm{DLMMS}_{i}^{n} \text { for any } i \in N
$$

Given an $n$-partition $\left(X_{1}, \ldots, X_{n}\right)$ of $M$, we say it is a DL-MMSdefining partition for agent $i$ if

$$
X_{j} \gtrsim_{i}^{\mathrm{DL}} \mathrm{DLMMS}_{i}^{n} \text { for all } j \in[n]
$$

Definition 8 (DL-PO). Given two allocations $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ and $\mathbf{B}=\left(B_{1}, \ldots, B_{n}\right)$, we say $\mathbf{B}$ DL-Pareto dominates $\mathbf{A}$ if $B_{i} \gtrsim_{i}^{D L} A_{i}$ for every $i \in N$ and the inequality is strict for some $i \in N$. Moreover, we say an allocation is DL-Pareto optimal (DL-PO) if it is not DLPareto dominated by any other allocation.

Note that possible PO is equivalent to DL-PO. Actually, it can be proven that if there exists a profile of valuations such that the allocation is PO, it is also PO under the lexicographic valuation [6].

It is shown by Hosseini et al. [26] that with strict preferences, a DL-EFX allocation is also DL-MMS. Thus the existence of DL-EFX allocations implies that of DL-MMS allocations. However, when the preferences have ties, this is not true any more.

Proposition 1. A DL-EFX allocation may not be DL-MMS if the preferences contain indifferences.

Proof. Consider an instance with $N=\{1,2,3\}$ and $M=\{a, b, c$, $d, e, f\}$, the agents' preferences over the items are defined as follows:

- Agent 1: $\left(a \sim_{1} b \sim_{1} c\right)>_{1}\left(d \sim_{1} e \sim_{1} f\right)$.
- Agent 2: $\left(a \sim_{2} b\right)>_{2}\left(c \sim_{2} d \sim_{2} e \sim_{2} f\right)$.
- Agent 3: $\left(a \sim_{3} b \sim_{3} c \sim_{3} d \sim_{3} e \sim_{3} f\right)$.

It is easy to verify that the allocation $\mathbf{A}=\left\{A_{1}=\{c\}, A_{2}=\{a, b\}, A_{3}\right.$ $=\{d, e, f\}\}$ is DL-EFX. However, the MMS bundle for agent 1 contains one item from $\{a, b, c\}$ and one item from $\{d, e, f\}$, which agent 1 strictly DL-prefers to $A_{1}$. Hence, A is not DL-MMS.

It deserves noting that DL-EFX and DL-MMS are not compatible with balancedness. A simple example to see this is when the preferences are strict and identical. Letting $m=2 n$, a balanced allocation requires that every agent gets two items. However, in any DL-EFX allocation, the agent who gets the most favorite item cannot get any other item any more; in any DL-MMS allocation, the agent who does not get one of the top $n-1$ items must get all the other items.

Finally, it is not hard to prove that DL fairness implies possible fairness, which is proven in the full paper.

Proposition 2. A DL-EFX allocation is p-EFX. Similarly, a DLMMS allocation is $p-M M S$.

### 3.2 Computing DL-MMS

Before presenting our first main algorithm, we first show that DLMMS can be computed efficiently, which is contrary to MMS defined under cardinal valuations. Intuitively, we want to allocate the items in every equivalence class evenly to $n$ bundles. However, this is usually not possible. Considering items in the best equivalence class, if they cannot be evenly allocated, we should only allocate the items in the other classes to the bundles that contain fewer items in the best class since they are at a disadvantage. Similarly, if the items in the second-best equivalence class cannot be evenly allocated to these disadvantageous bundles, we only allocate the other items to the bundles that are at a disadvantage in this second round. Continuing this, we obtain the DL-MMS bundle.

For any ordinal preference $\gtrsim_{i}$, recall that it can be expressed by $k_{i}$ equivalence classes $E_{i}^{1}, \ldots, E_{i}^{k_{i}}$. Letting

- $n_{1}=n$ and if $k_{i} \geq 2$,
- $n_{j}=n_{j-1}-\left(\left|E_{i}^{j-1}\right| \bmod n_{j-1}\right)$ for every $j \in\left[2, k_{i}\right]$,
we have the following lemma, whose proof is in the full paper.
Lemma 1. $\operatorname{DLMMS}_{i}^{n}$ contains $\left\lfloor\frac{\left|E_{i}^{j}\right|}{n_{j}}\right\rfloor$ items in $E_{i}^{j}$ for every $j \in$ $\left.{ }^{[ } k_{i}\right]$.


### 3.3 The Algorithm

We then introduce some necessary notions and technical lemmas used in our algorithm. To visualize our algorithm, we define exchange graph, which is similar to the envy graph defined in $[1,6]$. At each step of our algorithm where the (partial) allocation is $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$, we draw the exchange graph $G(\mathbf{A})$. Each item $g \in M$ is a vertex in $G(\mathbf{A})$ and for any two items $g_{1}, g_{2} \in M$, there is a directed edge from $g_{1}$ to $g_{2}$ if $g_{1} \in A_{i}$ for some $i \in N$ and $g_{2} \gtrsim_{i} g_{1}$. We say that an item $g$ is (1) unallocated if $g \notin A_{j}$ for all $j \in N$, (2) exchangeable if $g \in A_{j}$ for some $j \in N$ and there exists a path from $g$ to some unallocated item in $G(A)$ (we call such a path an exchange path), and (3) finalized if $g \in A_{j}$ for some $j \in N$ but there does not exist an exchange path from $g$ to any unallocated item.

For any exchange path $P=v_{1} \rightarrow \cdots \rightarrow v_{k}$, we denote by $i_{j}$ the agent who owns the item $v_{j}$ for every $j \in[k-1]$, then the pathexchange allocation $\mathbf{A}^{P}=\left(A_{1}^{P}, \ldots, A_{n}^{P}\right)$ is obtained by exchanging items backwards along the path. That is, we let $A_{i}^{P}=A_{i}$ for every $i \in N$, then

$$
A_{i_{j}}^{P}=A_{i_{j}}^{P} \backslash\left\{v_{j}\right\} \cup\left\{v_{j+1}\right\} \text { for every } j \in[k-1] .
$$

Note that the items that the agents get by exchange are at least as preferred as the items they owned before.

Once an item becomes finalized, its owner will never exchange it. We have the following lemmas regarding finalized items, whose proofs are in the full paper.

Lemma 2. Given a (partial) allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ and a finalized item $g \in A_{i}, g>_{i} g^{\prime}$ holds for any unallocated or exchangeable item $g^{\prime}$. In other words, any item $g^{\prime}$ such that $g^{\prime} \gtrsim_{i} g$ is finalized.

Lemma 3. Given a (partial) allocation $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ and $a$ finalized item $g$, any item that can be reached by $g$ in $G(A)$ is also finalized.

Our algorithm is formally described in Algorithm 1. Note that the parameter $t$ limits the number of rounds (Steps 5 to 26) and is only considered in Section 4. For now, we can assume that $t$ is infinity. In each round, each of the remaining agents picks one item in an arbitrary order in the way described in Algorithm 2; each agent $i$ picks her favorite item $g$ among the unallocated or exchangeable items. If $g$ is exchangeable before $i$ picks it, which means that there exists at least one exchange path from $g$ to an unallocated item, the agents who own the items on one of such paths exchange their items according to the path-exchange allocation. At the end of each round, two sets of agents are removed from the algorithm:

- The first set contains all agents who get an item which another agent strictly prefers to the item she gets herself in that round.
- The second set contains all agents who are not in the first set but get an item that can be reached in the exchange graph by the items allocated to the first set of agents in that round. The first set of agents are removed to ensure that they are not DLenvied by the agents who strictly prefer their items after removing any one of their items. The second set of agents are removed to ensure that they are not DL-envied by the agents in the first set after removing any one of their items. Note that another interpretation of the second set that this set contains all agents who get an item which some agent who is to be removed (weakly) prefers to the item she gets herself in that round.

We first have the following observations.
Observation 1. In any round, the agent who lastly picks an item is not removed.

Proof. We consider round $l$ and denote by $T^{l}$ the agents who get an item in round $l$, by $i \in T^{l}$ the agent who lastly picks an item in round $l$, by $g_{j}^{l}$ the item that any agent $j \in T^{l}$ gets in round $l$.

For the sake of contradiction, we suppose that $i$ is removed at the end of round $l$. Since $i$ is the last to pick an item, $g_{j}^{l} \gtrsim_{j} g_{i}^{l}$ holds for any agent $j \in T^{l}$, thus $i$ is not in the first set of removed agents. Then $i$ must be in the second set, which means that there exists an agent $j \in T^{l}$ who is removed in the first set and whose item $g_{j}^{l}$ can reach $g_{i}^{l}$ in the exchange graph.

The fact that $j$ is in the first set of removed agents means there exists an agent $k \in T^{l}$ such that $g_{j}^{l}>_{k} g_{k}^{l}$. This implies that $g_{j}^{l}$ is finalized when $i$ picks an item, since otherwise, agent $k$ should have picked $g_{j}^{l}$ and got an item that she strictly prefers to $g_{k}^{l}$ in round $l$. By Lemma 3, all items that can be reached by $g_{j}^{l}$ are also finalized

```
Algorithm 1: Computing a DL-MMS, DL-EFX and DL-PO
allocation.
1 Input: An instance \(I=(N, M, \gtrsim)\) over \(n\) agents and \(m\)
    items, and a round limit \(t\) whose default value is \(+\infty\).
    Output: An allocation A and the remaining items in \(M\).
    Initialize \(\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)\) where \(A_{j} \leftarrow \emptyset\) for each \(j \in N\),
    and \(c \leftarrow 1\).
    while \(M \neq \emptyset\) and \(c \leq t\) do
        Initialize \(T \leftarrow N\).
        // Allocate items to the agents in \(T\)
        while \(T \neq \emptyset\) do
            \(i \leftarrow\) an arbitrary agent in \(T\).
            \(\mathrm{A}^{\prime}, g, M^{\prime} \leftarrow\) Algorithm 2(i, M, A).
            \(\mathbf{A} \leftarrow \mathbf{A}^{\prime}, M \leftarrow M^{\prime}, T \leftarrow T \backslash\{i\}\).
            if \(M=\emptyset\) then
                    Return A and \(M\).
            end
        end
        Denote by \(g_{j}\) the item that agent \(j\) gets in this round for
            every \(j \in N\).
        Initialize \(S_{1} \leftarrow \emptyset, S_{2} \leftarrow \emptyset\).
        // Select the first set of agents who will be removed
        while there exists an agent \(k \in N \backslash S_{1}\) such that \(g_{k}>_{j} g_{j}\)
        for some \(j \in N \backslash\{k\}\) do
            \(S_{1} \leftarrow S_{1} \cup\{k\}\).
        end
        // Select the second set of agents who will be removed
        for each \(k \in S_{1}\) do
            \(S_{2} \leftarrow S_{2} \cup\left\{k^{\prime} \in N \backslash S_{1}:\right.\)
            \(g_{k^{\prime}}\) can be reached by \(g_{k}\) in \(\left.G(\mathbf{A})\right\}\).
        end
        \(N \leftarrow N \backslash S_{1} \backslash S_{2}\).
        \(c \leftarrow c+1\).
    end
    Return A and \(M\).
```

when $i$ picks an item, thus $i$ can not have got an item that can be reached by $g_{j}^{l}$, a contradiction.

The proof of Observation 1 also gives the second observation.
Observation 2. In any round, the items that the removed agents get are finalized when the last agent picks an item.

By Observation 1, there is always at least one agent picking items until all the items are allocated, thus we have the following lemma.

Lemma 4. For any instance with ordinal preferences, Algorithm 1 can allocate all the items.

The following lemma significantly simplifies the analysis.
Lemma 5. Without loss of generality, we can assume that there is no exchange during the execution of Algorithm 1.

The intuition of Lemma 5 is that for every instance, we can somehow design a new algorithm that explicitly specifies who gets

```
Algorithm 2: Picking an item.
    Input: An agent \(i\), a set of items \(M\), and a (partial) allocation
    \(\mathrm{A}=\left(A_{1}, \ldots, A_{n}\right)\).
    Output: A new allocation \(\mathrm{A}^{\prime}=\left(A_{1}^{\prime}, \ldots, A_{n}^{\prime}\right)\), the item \(g\) that
    agent \(i\) picks, the remaining items in \(M\).
    Initialize \(A_{j}^{\prime} \leftarrow A_{j}\) for every \(j \in N\).
    \(g \leftarrow i\) 's favorite item among the unallocated or
    exchangeable items (tie breaks arbitrarily).
    if \(g\) is unallocated then
        \(M \leftarrow M \backslash\{g\}\).
    else
        Let \(P\) be an exchange path in \(G(\mathbf{A})\) that starts at \(g\) and
        ends at \(g^{\prime}\).
        Compute the path-exchange allocation \(A^{P}\).
        \(\mathbf{A}^{\prime} \leftarrow \mathbf{A}^{P}, M \leftarrow M \backslash\left\{g^{\prime}\right\}\).
    end
    \(A_{i}^{\prime} \leftarrow A_{i}^{\prime} \cup\{g\}\).
    return \(\mathbf{A}^{\prime}, g\) and \(M\).
```

what at Step 9 so that there is no exchange and it outputs exactly the same allocation with Algorithm 1. We defer the complete proof of Lemma 5 to the full paper. Thus in the following, it suffices to consider that there is no exchange during Algorithm 1; that is, the item that an agent picks in a round is exactly the item she gets in that round.

Lemma 6. For any instance with ordinal preferences, Algorithm 1 computes a DL-EFX allocation.

Proof. Consider any two agents $i$ and $j$, whose bundles returned by Algorithm 1 are $A_{i}$ and $A_{j}$, respectively. We suppose that agent $i$ is not removed from the algorithm before round $k$ and agent $j$ is not removed before round $k^{\prime}$. We denote $A_{i}=\left\{a_{i}^{1}, \ldots, a_{i}^{k}\right\}$ and $A_{j}=\left\{a_{j}^{1}, \ldots, a_{j}^{k^{\prime}}\right\}$, where $a_{i}^{l}$ for any $l \in[k]$ and $a_{j}^{l^{\prime}}$ for any $l^{\prime} \in\left[k^{\prime}\right]$ are the items that $i$ and $j$ get in rounds $l$ and $l^{\prime}$, respectively. Since agent $i$ always picks her favorite items, we have

$$
\begin{equation*}
a_{i}^{1} \succsim_{i} \cdots \gtrsim_{i} a_{i}^{k} \tag{1}
\end{equation*}
$$

Moreover, since neither agent $i$ nor $j$ is removed before round $\min \left\{k, k^{\prime}\right\}$, we have

$$
\begin{equation*}
a_{i}^{l} \gtrsim_{i} a_{j}^{l} \text { for every } l \in\left[\min \left\{k, k^{\prime}\right\}-1\right] . \tag{2}
\end{equation*}
$$

We consider the following three cases:
Case 1: $k \geq k^{\prime}=1$. In this case, clearly, agent $i$ does not DL-envy agent $j$ after removing the only item in $A_{j}$.

Case 2: $k \geq k^{\prime} \geq 2$. In this case, since agent $i$ picks $a_{i}^{k^{\prime}-1}$ before agent $j$ picks $a_{j}^{k^{\prime}}$, we have

$$
\begin{equation*}
a_{i}^{k^{\prime}-1} \gtrsim_{i} a_{j}^{k^{\prime}} \tag{3}
\end{equation*}
$$

Combining Equations (1), (2), (3), it follows that $A_{i} \gtrsim_{i}^{\mathrm{DL}} A_{j} \backslash\{g\}$ for any $g \in A_{j}$.

Case 3: $k<k^{\prime}$. In this case, agent $i$ is removed at the end of round $k$ but agent $j$ is not. First, we have

$$
\begin{equation*}
a_{i}^{k}>_{i} a_{j}^{k} \tag{4}
\end{equation*}
$$

since otherwise, $a_{j}^{k} \gtrsim_{i} a_{i}^{k}$ implies that $j$ should have been removed in the second set at the end of round $k$, a contradiction. Second, according to Observation 2, $a_{i}^{k}$ is finalized at the end of round $k$, which according to Lemma 2, gives us

$$
\begin{equation*}
a_{i}^{k}>_{i} a_{j}^{l} \text { for every } l \in\left[k+1, k^{\prime}\right] . \tag{5}
\end{equation*}
$$

Combining Equations (1), (2), (4), (5), it follows that $A_{i} \succ_{i}^{\mathrm{DL}} A_{j} \backslash\{g\}$ for any $g \in A_{j}$.

Lemma 7. For any instance with ordinal preferences, Algorithm 1 computes a DL-MMS allocation.

Proof. Consider any agent $i$, whose bundle returned by Algorithm 1 is $A=\left(a_{1}, \ldots, a_{k_{i}}\right)_{\gtrless_{i}}$. Denote her DL-MMS by $B=$ $\left(b_{1}, \ldots, b_{k_{i}}\right)_{\gtrsim i}$, from Lemma $1, b_{j}=\left\lfloor\frac{\left|E_{i}^{j}\right|}{n_{j}}\right\rfloor$ for every $j \in\left[k_{i}\right]$. Our target is to show $A \gtrsim_{i}^{\mathrm{DL}} B$.
We consider the process of allocating items in each equivalence class of agent $i$, from the one that $i$ prefers the most (i.e., $E_{i}^{1}$ ) to the one that she prefers the least (i.e., $E_{i}^{k_{i}}$ ). Each time, we focus on only one equivalence class and after all items in that equivalence class have been allocated, we turn to the next equivalence class. Note that when items in one equivalence class are being allocated, items in later equivalence classes may also be allocated. Formally, for every $j \in\left[k_{i}\right]$, let $r_{j}$ be the first round by the end of which all items in the first $j$ equivalence classes (i.e., $\cup_{l \leq j} E_{i}^{l}$ ) have been allocated. For every $j \in\left[k_{i}-1\right]$, let $x_{j}$ be the number of items in later equivalence classes (i.e., $\bigcup_{l \geq j+1} E_{i}^{l}$ ) that are allocated before round $r_{j}$. Then, we have the following claim.

Claim 1. For every $j \in\left[k_{i}\right]$, one of the following two holds:
(1) $a_{l}>\left\lfloor\frac{\left|E_{i}^{l}\right|}{n_{l}}\right\rfloor$ for some $l \in[j]$.
(2) $a_{j}=\left\lfloor\frac{\left|E_{i}^{j}\right|}{n_{j}}\right\rfloor$. Besides, if $j<k_{i}$, at most $n_{j+1}-x_{j}$ agents (including agent $i$ ) can pick the remaining items in $\bigcup_{l \geq j+1} E_{i}^{l}$.

The reasonings for the base case and the induction step are similar. Thus here we prove Claim 1 for the base case and defer the proof of the induction step to the full paper.

Proof of Claim 1 for base case. We first show by contradiction that agent $i$ is not removed before round $r_{1}$. Suppose that $i$ is removed at the end of round $r^{\prime}<r_{1}$, according to Observation 2, the item she picks in round $r^{\prime}$ (which is an item in $E_{i}^{1}$ ) is finalized when the last agent picks an item in round $r^{\prime}$. Then Lemma 2 gives that all items in $E_{i}^{1}$ are also finalized, which means that $r_{1}=r^{\prime}$, a contradiction. Since agents pick items in rounds, agent $i$ can get at least

$$
\left\lfloor\frac{\left|E_{i}^{1}\right|+x_{1}}{n_{1}}\right\rfloor \geq\left\lfloor\frac{\left|E_{i}^{1}\right|}{n_{1}}\right\rfloor
$$

items in $E_{i}^{1}$. If $i$ gets more than $\left\lfloor\frac{\left\lfloor E_{i}^{1}\right\rfloor}{n_{1}}\right\rfloor$ items in $E_{i}^{1}$, the first statement is true. Thus it remains to consider that $i$ gets exactly $\left\lfloor\frac{\left.\mid E_{i}^{1}\right\rfloor}{n_{1}}\right\rfloor$ items in $E_{i}^{1}$, which first gives

$$
\begin{equation*}
\left\lfloor\frac{\left|E_{i}^{1}\right|+x_{1}}{n_{1}}\right\rfloor=\left\lfloor\frac{\left|E_{i}^{1}\right|}{n_{1}}\right\rfloor . \tag{6}
\end{equation*}
$$

We further consider the following cases.

Case 1: $\left(\left(\left|E_{i}^{1}\right|+x_{1}\right) \bmod n_{1}\right)=0$. This case happens only when the following conditions are satisfied simultaneously,

- $\left(\left|E_{i}^{1}\right| \bmod n_{1}\right)=0$;
- no agent is removed before round $r_{1}$;
- each of the $n_{1}$ agents picks $\frac{\mid E_{E_{1}^{1}}^{n_{1}}}{n_{1}}$ items in $E_{i}^{1}$ in the first $r_{1}$ rounds.
In this case, clearly, $x_{1}=0$ and $a_{1}=\frac{\left|E_{i_{1}^{1}}\right| \text {. Besides, agent } i \text { is } n_{1} \text {. }{ }^{2} \text {. }}{}$ not removed at the end of round $r_{1}$, since otherwise, according to Observation 2, the last agent in round $r_{1}$ can not have picked an item in $E_{i}^{1}$, a contradiction. Therefore, at most $n_{1}=n_{2}=n_{2}-x_{1}$ agents (including agent $i$ ) can pick the remaining items in $\bigcup_{l \geq 2} E_{i}^{l}$ in later rounds, thus the second statement is true.

Case 2: $\left(\left(\left|E_{i}^{1}\right|+x_{1}\right) \bmod n_{1}\right) \neq 0$. In this case, agent $i$ does not get an item in $E_{i}^{1}$ in round $r_{1}$. Observe that at least

$$
\left(\left|E_{i}^{1}\right|+x_{1}\right) \quad \bmod n_{1}=\left(\left|E_{i}^{1}\right| \quad \bmod n_{1}\right)+x_{1}
$$

agents get an item in $E_{i}^{1}$ in round $r_{1}$, where the equality is because Equation 6. These agents are removed at the end of round $r_{1}$ since agent $i$ strictly prefers their items to her own. Therefore, at most $n_{1}-\left(\left|E_{i}^{1}\right| \bmod n_{1}\right)-x_{1}=n_{2}-x_{1}$ agents (including agent $i$ ) can pick the remaining items in $\bigcup_{l \geq 2} E_{i}^{l}$ in round $r_{1}$ and later rounds, thus the second statement is true.

From Claim 1, it directly follows that $A \gtrsim_{i}^{\mathrm{DL}} B$, thus completing the proof of the lemma.

Lemma 8. For any instance with ordinal preferences, Algorithm 1 computes a DL-PO allocation.

Proof. Following Theorem 5 of [6], it can be easily seen that a (partial) allocation is not DL-PO if and only if there exists a cycle in the exchange graph which contains at least one edge corresponding to a strict preference. Therefore, it suffices prove that the exchange graph at the end of our algorithm does not contain such a cycle.

Denote by $\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)$ the allocation returned by Algorithm 1, and suppose for the sake of contradiction that there is a cycle $v_{1} \rightarrow \cdots \rightarrow v_{k} \rightarrow v_{1}$ in $G(\mathbf{A})$ where $v_{1} \in A_{i}$ and $v_{2}>_{i} v_{1}$. Then, the path $v_{2} \rightarrow \cdots \rightarrow v_{1}$ implies that $v_{2}$ is exchangeable before agent $i$ picks $v_{1}$. Therefore, agent $i$ should have picked $v_{2}$ instead of $v_{1}$, a contradiction.

Theorem 1. Given any instance with arbitrary ordinal preferences, an allocation that is simultaneously DL-EFX, DL-MMS and DL-PO exists and can be computed in polynomial time.

Proof. From Lemmas 6, 7 and 8, the allocation returned by Algorithm 1 is simultaneously DL-EFX, DL-MMS and DL-PO. To complete the proof, it suffices to show that the algorithm runs in polynomial time. As has been shown in Lemma 4, at least one agent picks an item in each round, thus at least one unallocated item is removed from $M$ and there are at most $m$ rounds. In each round, at most $n$ agents pick items. When an agent picks an item, it takes polynomial time to find the agent's favorite item and to search the exchange path in the exchange graph. At the end of each round, it takes polynomial time to find the first set of agents to be removed and to search the exchange graph to find the second set. Therefore, Algorithm 1 runs in polynomial time.

## 4 COMPUTING POSSIBLY FAIR AND BALANCED ALLOCATIONS

Next, we design an algorithm to compute a simultaneously p-EFX, pMMS and balanced allocation. Moreover, under the same valuation profile to achieve EFX and MMS, the allocation is also PO.

### 4.1 The Selection of Cardinal Valuation

For any agent $i$ whose ordinal preference is $\gtrsim_{i}$, we define her cardinal valuation $u_{i}$ as follows. For any $g \in M$, let

$$
\begin{equation*}
u_{i}(g)=W_{i}+v_{i}(g) \tag{7}
\end{equation*}
$$

where $v_{i}$ is lexicographic and satisfies

$$
v_{i}(o)>\sum_{o^{\prime}: o>i o^{\prime}} v_{i}\left(o^{\prime}\right) \text { for any } o \in M,
$$

and $W_{i}$ is a constant that satisfies $W_{i}>\sum_{o \in M} v_{i}(o)$. The insights behind this cardinal valuation are that $v_{i}$ can guarantee the allocation being both EFX and MMS, and $W_{i}$ can guarantee the allocation being balanced.

For any valuation as defined in (7), the maximin share can be computed in polynomial time. In particular, we have the following lemma, whose proof is in the full paper.

Lemma 9. For any agent whose cardinal valuation is as defined in (7), her MMS bundle contains the first $\left\lfloor\frac{m}{n}\right\rfloor$ items in the $D L-M M S$ bundle that she prefers the most.

### 4.2 The Algorithm

Now we are ready to present our second main algorithm (see Algorithm 3). In the algorithm, the agents are divided into two groups. The first group $N_{1}$ contains the first $n-(m \bmod n)$ agents of $N$, and the second group $N_{2}$ contains the others. We first let the agents in $N_{1}$ pick items by running Algorithm 1 for $\left\lfloor\frac{m}{n}\right\rfloor$ rounds. Then, for the agents in $N_{1}$ who get less than $\left\lfloor\frac{m}{n}\right\rfloor$ items in Algorithm 1, they pick items in the way described in Algorithm 2 until they have got in total $\left\lfloor\frac{m}{n}\right\rfloor$ items. Lastly, each of the agents in $N_{2}$ picks $\left\lfloor\frac{m}{n}\right\rfloor+1$ items in the way described in Algorithm 2.

Lemma 10. Given that the cardinal valuation of each agent is as defined in (7), the allocation returned by Algorithm 3 is EFX.

Proof. Consider any two agents $i$ and $j$, whose bundles returned by Algorithm 3 are $A_{i}$ and $A_{j}$, respectively. If $\left|A_{i}\right| \geq\left|A_{j}\right|$, for any $g \in A_{j}$, we have

$$
u_{i}\left(A_{i}\right) \geq\left|A_{j}\right| \cdot W_{i}>u_{i}\left(A_{j} \backslash\{g\}\right) .
$$

If $\left|A_{i}\right|<\left|A_{j}\right|$, it follows that $i \in N_{1}, j \in N_{2}$, and $\left|A_{i}\right|=\left|A_{j}\right|-1$. Since $i$ picks her items before $j$ and she always picks her favorite items, $u_{i}(g) \geq u_{i}\left(g^{\prime}\right)$ holds for any $g \in A_{i}$ and $g^{\prime} \in A_{j}$, which gives $u_{i}\left(A_{i}\right) \geq u_{i}\left(A_{j} \backslash\left\{g^{\prime}\right\}\right)$ for any $g^{\prime} \in A_{j}$.

Lemma 11. Given that the cardinal valuation of each agent is as defined in (7), the allocation returned by Algorithm 3 is MMS fair.

Proof. Consider any agent $i$, denote by $A_{i}$ her bundle returned by Algorithm 3 and by $B$ her MMS bundle. From Lemma $9, B$ contains the first $\left\lfloor\frac{m}{n}\right\rfloor$ items in DLMMS ${ }_{i}^{n}$ that $i$ prefers the most.

```
Algorithm 3: Computing a p-MMS, p-EFX and balanced
allocation.
    Input: An instance \(I=(N, M, \gtrsim)\) over \(n\) agents and \(m\)
    items.
    Output: An allocation A.
    Initialize \(\mathbf{A}=\left(A_{1}, \ldots, A_{n}\right)\) where \(A_{j} \leftarrow \emptyset\) for each \(j \in N\).
    \(N_{1} \leftarrow[n-(m \bmod n)]\).
    \(N_{2} \leftarrow N \backslash N_{1}\).
    \(\left\{A_{1}^{\prime}, \ldots, A_{\left|N_{1}\right|}^{\prime}\right\}, M^{\prime} \leftarrow\) Algorithm \(1\left(N_{1}, M,\left\lfloor\frac{m}{n}\right\rfloor\right)\).
    \(A_{j} \leftarrow A_{j}^{\prime}\) for every \(j \in N_{1}, M \leftarrow M^{\prime}\).
    for \(i \in N_{1}\) do
        while \(\left|A_{i}\right|<\left\lfloor\frac{m}{n}\right\rfloor\) do
            \(\mathrm{A}^{\prime},_{\_}, M^{\prime} \leftarrow\) Algorithm 2(i, M, A).
                \(\mathrm{A} \leftarrow \mathbf{A}^{\prime}, M \leftarrow M^{\prime}\).
        end
    end
    for \(j \in N_{2}\) do
        while \(\left|A_{j}\right|<\left\lfloor\frac{m}{n}\right\rfloor+1\) do
        \(\mathbf{A}^{\prime},{ }_{\_}, M^{\prime} \leftarrow\) Algorithm 2(j, M, A).
        \(\mathrm{A} \leftarrow \mathrm{A}^{\prime}, M \leftarrow \mathrm{M}^{\prime}\).
        end
    end
    Return \(\mathbf{A}\).
```

If $i \in N_{2}, A_{i}$ contains $\left(\left\lfloor\frac{m}{n}\right\rfloor+1\right)$ items and we have

$$
u_{i}\left(A_{i}\right) \geq\left(\left\lfloor\frac{m}{n}\right\rfloor+1\right) \cdot W_{i}>u_{i}(B) .
$$

If $i \in N_{1}$, denote by $A_{i}^{\prime}$ agent $i$ 's bundle after running Algorithm 1 for $\left\lfloor\frac{m}{n}\right\rfloor$ rounds. From Lemma 7, $i$ (weakly) DL-prefers $A_{i}^{\prime}$ to the first $\left\lfloor\frac{m}{n}\right\rfloor$ items in $\mathrm{DLMMS}_{i}^{\left|N_{1}\right|}$ that she prefers the most. Moreover, since $\left|N_{1}\right| \leq n$, DLMMS $_{i}^{\left|N_{1}\right|} \gtrsim_{i}^{\mathrm{DL}} \mathrm{DLMMS}_{i}^{n}$. Thus, $A_{i}^{\prime} \gtrsim_{i}^{\mathrm{DL}} B$, which gives $u_{i}\left(A_{i}\right) \geq u_{i}\left(A_{i}^{\prime}\right) \geq u_{i}(B)$.

Lemma 12. Given that the cardinal valuation of each agent is as defined in (7), the allocation returned by Algorithm 3 is PO.

Proof. Denote by $\mathrm{A}=\left(A_{1}, \ldots, A_{n}\right)$ the allocation returned by Algorithm 3. Following the proof of Lemma 8, it is easy to see that A is also DL-PO since agents in Algorithm 3 also always pick items in the way described in Algorithm 2.

Suppose for the sake of contradiction that there exists an allocation $\mathbf{B}=\left(B_{1}, \ldots, B_{n}\right)$ which Pareto dominates $\mathbf{A}$, given that each agent's valuation function is as defined in (7). Assume without loss of generality that $u_{1}\left(B_{1}\right)>u_{1}\left(A_{1}\right)$ and $u_{j}\left(B_{j}\right) \geq u_{j}\left(A_{j}\right)$ for any $j \in N$. First observe that $\left|A_{j}\right|=\left|B_{j}\right|$ for every $j \in N$, since otherwise, there exists an agent $k \in N$ such that $\left|B_{k}\right|<\left|A_{k}\right|$, leading to the following contradiction,

$$
u_{k}\left(B_{k}\right)<\left|A_{k}\right| \cdot W_{k} \leq u_{k}\left(A_{k}\right) .
$$

This observation, combining with our assumption, gives us $B_{1} \succ_{1}^{\mathrm{DL}}$ $A_{1}$ and $B_{j} \gtrsim_{j}^{\mathrm{DL}} A_{j}$ for any $j \in N$, which contradicts the fact that A is DL-PO. Therefore, such an allocation $\mathbf{B}$ does not exist.

Theorem 2. For any instance with ordinal preferences, Algorithm 3 computes an allocation that is simultaneously $p-E F X, p-M M S$ and balanced in polynomial time.

Proof. Clearly, Algorithm 3 can allocate all the items and returns a balanced allocation. From Lemmas 10 and 11, the allocation is also p-EFX and p-MMS. Since it has been shown in Theorem 1 that both Algorithm 1 and Algorithm 2 run in polynomial time, Algorithm 3 also runs in polynomial time.

## 5 NECESSARY FAIRNESS

In this section, we focus on necessary MMS ( $n$-MMS) and necessary EFX (n-EFX). It is not hard to see that n-MMS and n-EFX are hard to satisfy since they have stronger requirements than the cardinal definitions. Consider the following example.

Example 2. Let $M=\left\{o_{1}, o_{2}, o_{3}, o_{4}, o_{5}\right\}$ and $N=\{1,2\}$ such that for both $i \in N$ :

$$
o_{1}>_{i} o_{2} \sim_{i} o_{3} \sim_{i} o_{4} \sim_{i} o_{5} .
$$

If both agents have lexicographic valuations on items, then the only MMS or EFX allocation is that one agent gets $o_{1}$ and the other agent gets $o_{2}, o_{3}, o_{4}, o_{5}$. However, if $o_{1}$ is only slightly more valuable than the other items, the only MMS or EFX allocation is that one agent gets $o_{1}$ together with one of $o_{2}, o_{3}, o_{4}, o_{5}$, and the other agent gets the remaining. Thus no allocation can simultaneously satisfy both cases.

Before the end of this section, we show a simple necessary condition for n-MMS and n-EFX allocations. For each agent $i$ and item $o$, let $B_{i}^{o}$ be the set of the items that are at least as good as $o$.

Proposition 3. If an assignment $A$ is $n$-MMS or $n$-EFX, then for each $i \in N,\left|A_{i} \cap B_{i}^{o}\right| \geq\left\lfloor\frac{\left|B_{i}^{o}\right|}{n}\right\rfloor$ for all $o \in M$.

Proof. Assume that there exists some o such that $\left|A_{i} \cap B_{i}^{o}\right|<$ $\left\lfloor\frac{\left|B_{i}^{o}\right|}{n}\right\rfloor$. Consider $u_{i} \in \mathcal{U}\left(\gtrsim_{i}\right)$ such that $W \leq u_{i}\left(o^{\prime}\right) \leq W+\epsilon$ for $o^{\prime} \in B_{i}^{o}$ and $u_{i}\left(o^{\prime}\right) \leq \epsilon$ for $o^{\prime} \in M \backslash B_{i}^{o}$ where $\epsilon$ is an arbitrarily small value. This means $u_{i}\left(A_{i}\right)<W\left\lfloor\frac{\left|B_{i}^{o}\right|}{n}\right\rfloor$. However agent $i$ can guarantee at least $W\left\lfloor\frac{\left|B_{i}^{o}\right|}{n}\right\rfloor$ utility by partitioning the elements of $B_{i}^{o}$ in a balanced way. Therefore the allocation cannot be n -MMS. The same situation applies to n-EFX; Since $\left|A_{i} \cap B_{i}^{o}\right|<\left\lfloor\frac{\left|B_{i}^{o}\right|}{n}\right\rfloor$, there must be an agent who takes more than $\left\lfloor\frac{\left|B_{i}^{o}\right|}{n}\right\rfloor$ items in $B_{i}^{o}$. Using the same valuation function, EFX also fails.

Example 2 also shows that $\left|A_{i} \cap B_{i}^{o}\right| \geq\left\lfloor\frac{\left|B_{i}^{o}\right|}{n}\right\rfloor$ for all $o \in M$ for all $i \in N$ is not enough for an allocation to be n -MMS or n -EFX.

## 6 CONCLUSION

In this work, we study possible fairness for allocating indivisible resources. We first prove that an EFX, MMS and PO allocation exists if the preferences are (weak) lexicographic. Based on this result, we further prove that a simultaneously possible EFX, MMS, and balanced allocation exists and can be computed in polynomial time. Moreover, we use the same valuation profile to achieve EFX and MMS, under which the allocation is PO. We believe it is of both theoretical interest and practical importance to characterize what possible fairness can be achieved in resource allocation problems. Several interesting future directions include extending our work to the allocation of chores or a mixture of goods and chores, and the more general setting when the agents have asymmetric weights.

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