# Diversity Through Exclusion (DTE): Niche Identification for Reinforcement Learning through Value-Decomposition

Extended Abstract

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#### ABSTRACT

Many environments contain numerous available niches of variable value, each associated with a different local optimum in the space of behaviors (policy space). In this work we propose a generic reinforcement learning (RL) algorithm where multiple sub-policies are learnt in a manner inspired by fitness sharing in evolutionary computation and applied in reinforcement learning using Value-Decomposition-Networks in a novel manner for a single-agent's internal population. Further, we introduce an artificial chemistry inspired platform where it is easy to create tasks with multiple rewarding strategies utilizing different resources (i.e. multiple niches). We show that agents trained this way can escape poor-but-attractive local optima to instead converge to harder-to-discover higher value strategies in both the artificial chemistry environments and in simpler illustrative environments.

## **KEYWORDS**

Reinforcement Learning, Artificial Chemistry, Ecology

#### **ACM Reference Format:**

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### **1** INTRODUCTION

This is a short version of [11]. The principle of competitive exclusion in ecology says that two species cannot inhabit the same niche, or put differently, cannot rely on the same set of limited resources [13]. The repulsive effect that an occupied niche has on nearby species who might otherwise have occupied it is an important part of the reason why evolution on Earth produced a diversity of species [6], not just a single generically optimal species like you might expect from a black box optimization algorithm.

Here we study how ecological concepts of competitive exclusion, niche discovery, and diversity can be productively applied to a reinforcement learning (RL) agent operating in a complex environment. While evolution may be understood as a "space-filling" process, which over time generates a species for every as-yet-unfilled point in niche space [8], the standard image of an RL agent's learning process is the trajectory of a single point traveling through policy space by following a gradient and, after enough time, arriving at a globally optimal rest point [12]. In most of the RL literature, the central obstacle acknowledged to getting RL agents to discover radically new behaviors that a random policy would never emit by chance, is that of sparse reward and vanishing gradients when far from a local optima. This is why the field has been mainly interested in models where an exploration bonus is added to the environment's default reward [1, 9]. The aim is to create new gradients where none would otherwise have existed (e.g. [3]). In this paper, we explore how the ecological perspective may provide an alternative organizing metaphor that can be used productively to motivate a new reinforcement learning algorithm.

## 2 DIVERSITY THROUGH EXCLUSION (DTE)

We consider a single agent as though it contains multiple cooperating agents. Thus it makes sense to view it through the Dec-POMDP framework of cooperative MARL and rely on the approach of Value-Decomposition-Networks (VDN) [10], though here the implications of the VDN approach are different from its original setting. Since all the "sub-agents" are inside one agent, and only one can act at a time, credit should flow only to the agent who actually acted. Please note, we will below be assuming for simplicity that all rewards are non-negative. Our approach is based on sampling a policy  $\pi_i$  from a population for each episode and using it to generate an experience trajectory. These policies will, in our experiments, be defined by *N* different policy heads on top of a common core encoder network similar to Bootstrapped DQN [7].

Applying the value decomposition networks approach [10] we let the global state-action value function Q be decomposed as

$$Q(o(s), a) = \sum_{i=1}^{N} Q_i(o_i(s), a_i)$$

where  $Q_i$  is the state-action value function of policy *i* but here  $o_i(s) = o(s)$  and  $a_i = a$  since all sub-agents experience the same observations and actions.

In our case, we have more information than in cooperative MARL. For each state *s* we know which sub-agent *j* was responsible for selecting the joint action  $\vec{a} = a_j(o(s))$  and we can directly use this knowledge to assign credit. This amounts to updating the responsible sub-agent toward a target using the true sequence of rewards while simultaneously updating all other sub-agents with the same observation and action sequence but all rewards replaced by 0.

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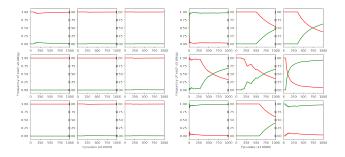


Figure 1: 9-headed DQN (left) vs 9-headed DTE (right) on Simple Artificial Chemistry

Thus for every trajectory (o(s), a) and policy i, a learning update is performed where a gradient step is taken for the loss

$$L(o(s), a, i, \{Q_j\}_j, R) = (Q_i(o(s), a) - R)^2 + (\sum_{j \neq i} Q_j(o(s), a))^2,$$

which by the triangle inequality is not smaller than the standard VDN loss  $(\sum_i Q_i(o(s), a) - R)^2$ .

## 3 EXPERIMENTAL EVALUATION USING AN ARTIFICIAL CHEMISTRY PLATFORM

To enable the creation of rich environments with many completely different rewarding strategies we create an artificial chemistry platform where environments are defined by reaction graphs [2, 5] (see Fig. 2) and reactions occur stochastically when reactants are brought near one another. Our main environment is inspired by work on autocatalytic sets (RAF sets) [5]. The most interesting environment we consider here used here involved metabolic cycles aimed at being what Hordijk et al. [5] calls Reflexively Autocatalytic and Food generating (RAF). The notion of [5], that autocatalytic systems have such RAF subsystems, has critically guided our task design.

This first task only features two kinds of molecules, red and green. While all reactions in this task has the same rate, the red identity reaction gives reward 0.1 and green only 0.75. However, both are also involved in a pair identity reaction where two red (reward 0.15) are both reactant and product or two green (reward 0.25) react but remains two green. An agent might first learn to consistently go to red and then later discover that moving that red over to the other gives more, while never discovering that bringing the two green molecules together is even better.

Fig. 1 indeed shows that for DQN, all 9 heads only utilize the red molecules while DTE early is having two heads going for the more rewarding green strategy while as learning goes on, eventually all heads learns to utilize the green pair reaction.

In the more complex Metabolic cycles with distractors task, individuals benefit from different food generating cycles of reactions that rely on energy which dissipates over time if unused (red molecules). There are two possible autocatalytic reaction cycles, one cycle consists of molecules in three different shades of blue and the other cycle of molecules in three different shades of green. Both cycles require energy to continue. When they progress, they generates side products that comes in two types. If the two are brought

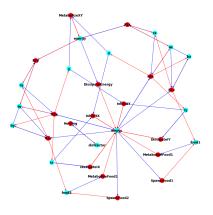


Figure 2: Reaction Graph for molecules in Chemistry Metabolic Cycles with Distractors. The artificial chemistry we use represents the possible reactions with a directed multigraph [4]. The blue nodes are compounds and the red nodes are reactions. Arrows point from reactant compounds in to reaction nodes and arrows point out of reaction nodes toward product compounds.

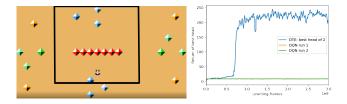


Figure 3: Left: Initial arrangement of molecules in Chemistry Metabolic Cycles with Distractors. The agent's partial viewing window is highlighted with a black rectangle. It is  $11 \times 11$ sprites, each sprite being  $8 \times 8$  pixels (making an  $88 \times 88 \times 3$  RGB image observation). Right: Results for the Chemistry Metabolic Cycles with Distractors task, DTE's best head learns the sustainable cycles while both individual DQN runs gets permanently stuck with the distractor.

together then that reaction generates new energy such that the cycles can continue, as well as a high reward for the agent. The population needs to keep both cycles running in order to sustain the system over time<sup>1</sup>.

This task also contains one easier way to earn a small amount of rewarding and it is achieved by simply holding a molecule we call the distractor (orange in Fig. 3). where we can see 4 of them, 1 towards each corner) in its inventory<sup>2</sup>. This is the simplest rewarding strategy and represents a shallow local optima that agents consistently learns very early. The plot in Fig. 3 shows two DQN runs both stuck on this shallow local optima while the best of two DTE head (we let one head get 5 times the data of the other) learns to run all the cycles sustainably and efficiently.

<sup>&</sup>lt;sup>1</sup>see https://youtu.be/FSStB7RpYis for an example video.

<sup>&</sup>lt;sup>2</sup>see https://youtu.be/87NSFEPL7fk for an example video

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